

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
SO-7

In Re Application Of: **Kim Lui So**

JUL 24 2006

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/573431	03/24/2006	To Be Assigned	39705	To Be Assigned	To Be Assigned

Title: **SELF CLEANING FILTER ASSEMBLY AND CIRCULATION SYSTEM**

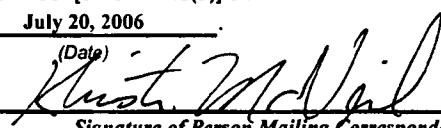
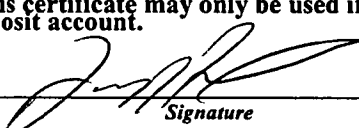
Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

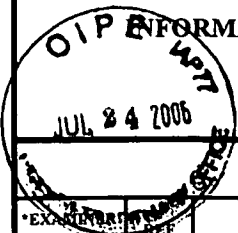
37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. SO-7	
In Re Application of: Kim Lui So					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/573431	03/24/2006	To Be Assigned	39705	To Be Assigned	To Be Assigned
Title: SELF CLEANING FILTER ASSEMBLY AND CIRCULATION SYSTEM					
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <p> <input type="checkbox"/> A check in the amount of _____ is attached. </p> <p> <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>02-4345</u> as described below. </p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> <p> <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. </p> <p> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. </p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> <div style="text-align: center;">(Date)</div> </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> <div style="text-align: center;">Signature</div> </div> <div style="border-top: 1px solid black;"> <div style="text-align: center;">Typed or Printed Name of Person Signing Certificate</div> </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> <div style="text-align: center;">July 20, 2006</div> </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> <div style="text-align: center;">(Date)</div> </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> <div style="text-align: center;">  Signature of Person Mailing Correspondence </div> </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> <div style="text-align: center;">Khristine McNeil</div> </div> <div style="border-top: 1px solid black;"> <div style="text-align: center;">Typed or Printed Name of Person Mailing Certificate</div> </div> </div> </div> <p> *This certificate may only be used if paying by deposit account. </p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 45%;"> <div style="text-align: center; margin-bottom: 10px;">  Signature </div> <p> Loren G. Helmreich, Reg. No. 29,389 Browning Bushman P.C. 5718 Westheimer, Suite 1800 Houston, Texas 77057 Tel: 713-266-5593 </p> </div> <div style="width: 45%;"> <p>Dated: July 20, 2006</p> </div> </div>					
CC:					

				Docket Number (Optional) SO-7		Application Number 10/573431		
				Applicant(s) Kim Lui So				
				Filing Date March 24, 2006		Group Art Unit To Be Assigned		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		6,698,419	03/02/2004					
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 2004/016988 A1	02/26/2004					
		GB 2138127 A	10/17/1984					
		EP 0570656 A1	09/29/1992					
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
	REF							
EXAMINER <div style="text-align: right;">/lves Wu/</div>					DATE CONSIDERED <div style="text-align: right;">05/01/2009</div>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								